

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yasuhiko Aoki, et al.

Serial No.:

10/629,021

Filing Date:

July 28, 2003

Group Art No.:

2643

Title:

Optical Network with Sub-Band Rejection and Bypass

Commissioner For Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

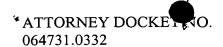
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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37. C.F.R. 1.10 on the date shown below, and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name: Willia III-

Date: March 16, 2004

## INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §§ 1.56, .97, and .98, Applicants respectfully request that the references listed in the attached PTO-1449 form be considered and cited in the examination of the Application. The present Application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner.





Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe this Information Disclosure Statement has been filed before the mailing date of the first Official Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

Brian W. Oaks Reg. No. 44,981

Date: March 16, 2004

**Correspondence Address:** 

Baker Botts L.L.P. 2001 Ross Avenue, 6th Floor Dallas, Texas 75201-2980 (214) 953-6986

**Customer Number** 

05073

Applicant(s) Application No. PTO-1449 Yasuhiko (nmi) Aoki et al. 10/629,021 Filing Date Docket Number Group Art Unit 1P Information Disclosure Citation July 28, 2003 064731.0332 2643 In an Application TOE! **U.S. PATENT DOCUMENTS** MAR 1 6 2004 FILING DATE OCUMENT NO. CLASS **SUBCLASS** DATE **NAME** 10/10/1996 MacDonald et al. 359 119 5,751,454 05/12/1998 08/12/1999 Milton et al. 359 124 6,084,694 07/04/2000 В 09/19/1997 359 110 12/12/2000 Öberg et al.  $\mathbf{C}$ 6,160,648 06/02/1999 Solheim et al. 385 24 6,192,173 02/20/2001 D 10/17/2000 359 127 09/11/2001 Jiang et al. E 6,288,811 12/28/2000 359 326 2001/0007509 07/12/2001 Aso et al. F 385 24 12/21/2000 2001/0017958 08/30/2001 Solheim et al.  $\mathbf{G}$ 110 01/24/2001 Lee et al. 359 H 2001/0038472 11/08/2001 359 124 03/15/2002 2002/0145779 10/10/2002 Strasser et al. I DOCUMENT (Including Author, Title, Source, and Pertinent Pages) DATE 2003 Bacque, B. et al., "R-OADM Architecture - Now you can Control the Light," Tropic Networks, J Grenfeldt, "ERION-Ericsson optical networking using WDM technology," Ericsson Review 1998 No. 3, pp. 132-137 October 2001 Ashmead, "ROADMap for the Metro Market," Fiberoptic Product News, 3 pages (36, 38, and 40) Batchellor, "Optical Networking the Ericsson Way," Ericsson Limited, Business Unit Transport 2/22/2002 and Cable Networks, pp. 1-4 U.S. Patent Application Serial No. 10/108,734, entitled "Method and System for Control 03/27/2002 K Signaling in an Open Ring Optical Network," filed March 27, 2002 U.S. Patent Application Serial No. 10/108,741, entitled "Method and System for Testing During 03/27/2002 L Operation of an Open Ring Optical Network," filed March 27, 2002 U.S. Patent Application Serial No. 10/112,022, entitled "Flexible Open Ring Optical Network 03/28/2002  $\mathbf{M}$ and Method," filed March 28, 2002 U.S. Patent Application Serial No. 10/158,523, entitled "Optical Ring Network with Optical 05/29/2002 N Subnets and Method," filed May 29, 2002 U.S. Patent Application Serial No. 10/158,348, entitled "Multiple Subnets in an Optical Ring 05/29/2002 O Network and Method," filed May 29, 2002 U.S. Patent Application Serial No. 10/159,499, entitled "Combining and Distributing Amplifiers 05/30/2002 P for Optical Network and Method," filed May 30, 2002 U.S. Patent Application Serial No. 10/159,307, entitled "Optical Add/Drop Node and Method," 05/30/2002 0 filed May 30, 2002 05/30/2002 U.S. Patent Application Serial No. 10/159,464, entitled "Passive Add/Drop Amplifier for Optical R Network and Method," filed May 30, 2002 U.S. Patent Application Serial No. 10/246,053, entitled "Optical Network and Distributed Sub-09/17/2002 S Band Rejections," filed September 17, 2002 10/01/2002 U.S. Patent Application Serial No. 10/262,818, entitled "Optical Ring Network with Hub Node and Method," filed October 1, 2002 U.S. Patent Application Serial No. 10/448,169, entitled "Optical ring Network with Selective 05/29/2003 Signal Regeneration and Wavelength Conversion," filed May 29, 2003 U.S. Patent Application Serial No. 10/627,548, entitled "System and Method for Communicating 07/25/2003 Optical Traffic Between Ring Networks," filed July 25, 2003 DATE CONSIDERED **EXAMINER** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.